



PHOENIX CAPITAL

Phoenix Capital Securities Limited
英明證券有限公司

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香港股票投資服務(港幣)收費表

證券交易服務費用

項目	收費
經紀佣金	成交金額之 0.25%(最低收費\$100)
經紀佣金－網上	成交金額之 0.1%(最低收費\$75)
證監會交易徵費	成交金額之 0.0027%
財匯局交易徵費	成交金額之 0.00015%
交易費	成交金額之 0.005%
政府印花稅	成交金額之 0.13% (每\$1,000 或不足\$1,000 之金額亦收取\$1)
中央結算股份交收費	成交金額之 0.002%(最低\$5, 最高\$500)

處理實物股票及交收的服務費用

項目	收費
轉手釐印費	每張新轉手紙收\$5, 由賣方繳付
實物股票提取費	每手股票收費\$5(最低\$30)
實物股票托管費	每月每一股份\$2,000
轉名費	每手股票收費\$5(另加每一股份手續費\$200)
轉倉指示(SI)中央結算費	每項股票收市價之 0.002% (最低\$10)
轉倉指示(SI)手續費	每一股份\$100
個人投資者戶口(I.S.I.)	存入股票 - 免費, 提取股票 - 每宗\$20
強制性補購股份費	每宗收費\$200 另加證券交易服務收費

代理人服務及企業行動服務費用

項目	收費
代收股息手續費	股息之 0.5% (最低\$50)
代收股息中央結算費	每手股票收費\$1.5
代收供股權/認股證/備兌認股證	每手股票收費\$1.5(另加手續費\$30)
代收紅股	每手股票收費\$1.5(另加手續費\$30)
供股權及認股權行使	每手股票收費\$0.8(另加手續費\$50)
現金/公開/特別/有限制收購	每手股票收費\$0.8(另加手續費\$50)
追收股息、紅股	每宗\$800(每期股息收\$100)
代履行其他企業行動服務權責費用	每手股票收費\$0.8(另加手續費\$100)

帳戶服務費用

項目	收費
月結單費	豁免
托管費	豁免
凍結戶口托管費	每月本地證券 0.1%; 每月海外證券 0.15%
凍結戶口維護費	每月\$500
獨立股份帳戶服務費(附寄中央結算系統結單服務)	每月\$60
重印/補發月結單副本	\$30 每份月結單
不動帳戶服務費	凡帳戶 12 個月以上未有買賣活動將徵收年費\$200 第三年起費用將改為每月徵收\$150
簽發核數賬戶證明書	每次\$300
其他特別證明書	每封收費\$1,000

借貸及其他服務費用

項目	收費
認購新股	每宗\$100
過時交收罰款(現金客戶)	最優惠利率+16%
支票退票	每宗\$100
匯款收費(CHAT)	\$280(本地銀行戶口)
電匯收費	\$380(海外銀行戶口)
額外匯款收費	所有銀行收取之額外收費均由客戶承擔
保證金客戶利率:	最優惠利率+3%

上述收費如有更改, 恕不另行通知。

錯漏免責。



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SERVICE CHARGES FOR HONG KONG SECURITIES TRANSACTION (HKD)

Trade-related services charges

Item	Fee
Brokerage commission	0.25% of transaction amount (min.\$100)
Brokerage commission – Internet trade	0.1% of transaction amount (min.\$75)
SFC Transaction Levy	0.0027% of transaction amount
FCR Transaction Levy	0.00015% of transaction amount
Trading Fee	0.005% of transaction amount
HKSAR Government stamp duty	0.13% of transaction amount (\$1 per every \$1,000 or part thereof)
Settlement Fee (CCASS)	0.002% of transaction amount (min\$5; max\$500)

Scrip handling and settlement-related services charges

Item	Fee
Transfer Deed	\$5 per board lot, seller only
Physical Stock withdrawal charge	\$5 per board lot (min\$30)
Physical Stock Custodian Fee	\$2,000 per month for each stock
Registration Fees: (In Client's own name)	\$5 per board lot (scrip fee included) and \$200 Handling Charge for each stock
SI Settlement Fee	0.002% per value of stock (min \$10)
Handling Charge for each SI stock	\$100 Handling Charge for each stock
Individual Investor Account (I.S.I.)	Stock Deposit – Waived, Stock Withdrawal - HK\$20 / stock
Compulsory Share Buy-back Fee	\$200 per transaction plus trade related services charges

Nominee services and corporate actions services charges

Item	Fee
Handling Charge for Dividend Collection	0.5% of value of dividend (min\$50)
Dividend Collection (CCASS)	\$1.5 per board lot
Receive Rights / Warrant / Covered Warrant	\$1.5 per board lot + \$30 Handling charges
Receive Bonus Shares	\$1.5 per board lot + \$30 Handling charges
Take up rights issue / Warrant conversion	\$0.8 per board lot + \$50 Handling charges
Cash / Open / Special / Conditional Offer handling	\$0.8 per board lot + \$50 Handling charges
Dividend / Bonus Claim	\$800 per claim + \$100 per each term of dividend
Other corporate actions	\$0.8 per board lot + \$100 Handling charges

Account maintenance services charges

Item	Fee
Monthly Statement Fee	Waived
Custodian Fee	Waived
Frozen Account Custodian Fee	Local Shares 0.1% per month; Foreign Shares 0.15% per month
Frozen Account Maintenance Fee	\$500 per month
Stock Segregated Account Service Fee (Attached CCASS Statement Service)	\$60 per month
Reprint / Reissue monthly statement	\$30 per monthly statement
Dormant Account Fee	Account with no transaction for 12 months charge \$200 p.a. No transaction from 3 rd year charge \$150 per month
Account Confirmation Letter	HK\$300 per letter
Other Specific Confirmation Letter	HK\$1,000 per letter

Financing and other services charges

Item	Fee
New issue application	\$100 per application
Late settlement for custodian client	Prime rate + 16%p.a.
Handling Charge for Dishonour Cheque	\$100 per cheque
CHAT fee	\$280 (Local Bank)
Remittance fee	\$380 (Overseas Bank)
Additional TT handling charge	Client should bear all the fee charge by bank
Margin Client interest rate:	Prime rate + 3% p.a.

The above charges are subject to change without notice.